

**Appalachian State University**  
**Graduate Council**  
**April 18, 2011**  
**(Approved September 19, 2011)**

**Present:** John Abbott, Doris Bazzini, Joseph Cazier, Susan Davies, Keith Davis, Pollyanne Frantz, Tom Fisher, Charles Gibson, Dru Henson, Holly Hirst, Marie Hoepfl, Charna Howson, Edelma Huntley, Jim Killacky, Katherine Ledford, Mike Mayfield, William Pelto, Jenny Primm, Martin Root, Glenda Treadaway

**Excused:** Becki Battista, Lisa Holliday, Jennifer Snodgrass, Julie Taubman, Peter Villanova

**Absent:** Charles Duke, Randy Edwards, Fred Whitt

**Guests:** Mary Englebert, Andrea Wawrzusin

Dr. Huntley called the meeting to order. It was moved (Fisher) and seconded (Killacky) that the minutes of the March 21 meeting be approved. Motion carried. None opposed; no abstentions.

**Update from the Dean – Edelma Huntley**

- Dr. Marie Hoepfl is the recipient of the Graduate Council Technology Teacher Education Teacher Educator of the Year Award. Only one recipient is selected in the United States.
- There will be a Graduate Faculty meeting on Wednesday, April 27 at 5:00 p.m. in 114 Belk Library and Information Commons. (Note: This was rescheduled to August.)
- There are four finalists of the Provost Search invited to campus beginning the week of April 25. There will be two candidates per week. For additional information visit: [www.provostsearch.appstate.edu](http://www.provostsearch.appstate.edu).

**Reports/Announcements from Graduate Studies – Dr. Holly Hirst**

- The NC House budget proposal is to cut NC tuition remission by 20%. This affects out-of-state graduate students. The NC House is also discussing eliminating the Academic Common Market which grants out-of-state students in-state tuition rates. The state will not revoke those currently enrolled provided the students remain eligible. Academic Common Market students will not be accepted beginning Fall 2012.
- Graduate Studies staff will participate in three days of training for DegreeWorks. The student checksheet will show what is required, what is completed, and what is remaining. This feature will be available to advisors by next September and to students within the year.
- The April 15 report submitted to General Administration shows that graduate admission applications have increased by 250-300 each year, mostly in highly competitive programs.
- Council members are encouraged to read the Graduate Studies report attached.

**Reports/Announcements from Sponsored Programs – Ms. Charna Howson**

- Proposal numbers and the dollar value of submissions and awards continue to be ahead of this time last year. We have currently exceeded the dollar value of FY 2010. At the end of

March, there were 243 proposal submissions, in excess of \$48M.

- Training for AGrants will begin for institutional approvers from May through June 30 (deans, department heads, and alternate approvers).
- Council members are encouraged to read the Sponsored Programs report attached.

#### **Reports/Announcements from Proposal Development – Dr. Pollyanne Frantz**

- Amy Love and Pollyanne Frantz conducted six GRAM workshops on locating and evaluating funding. Due to the popularity of these workshops, first year students requested these workshops be conducted earlier in their programs.
- Watch for upcoming events on the web site as well as the results of the communication survey.
- Council members are encouraged to read the Proposal Development report attached.

#### **Reports/Announcements from Research Protections – Dr. Holly Hirst (for Ms. Julie Taubman)**

- ASU personnel are looking at a new sustainable development farm in Ashe County, which involves Research Protections and other issues. Animals will be covered by IACUC. In addition, the facility plans to house students.
- IRB reviews will exceed 300 before the end of the fiscal year.
- Council members are encouraged to read the Research Protections report attached.

#### **Old Business – Mission, Vision and Goals**

##### 1. Goals edited at the Approved at the March 21, 2011 Meeting:

-----  
The Graduate School advocates the following educational goals for each graduate student:

- Students will demonstrate mastery of the discipline at a level of rigor commensurate with post-baccalaureate education.
  - Students will develop and apply skills in current research and/or professional practices to address complex issues in an increasingly diverse and global society.
  - Students will acquire an understanding of and commitment to the professional ethical standards.
  - Students will communicate effectively in ways that are appropriate for a professional.
- 

**MOTION 1:** It was moved (Pelto) and seconded (Killacky) that discussion of the goals continue.

##### Bullet #4

Suggested change from “...appropriate for a professional” to “...ways that are professionally appropriate.” Drs. Pelto and Killacky accepted this change as a friendly amendment.

Suggested change from “...appropriate for a professional” to “Students will communicate effectively in professionally appropriate ways.”

Suggested change to “Students will communicate effectively in with fellow professionals and the general public or lay people.”

Dr. Pelto declined these latter two suggested changes as amendments to his motion.

Bullet #3

Suggested change from "...commitment to the professional ethical standards."  
to "...commitment to professional ethical standards."

Following discussion, the revisions are as follows:

-----  
The Graduate School advocates the following educational goals for each graduate student:

- Students will demonstrate mastery of the discipline at a level of rigor commensurate with post-baccalaureate education.
  - Students will develop and apply skills in current research and/or professional practices to address complex issues in an increasingly diverse and global society.
  - Students will acquire an understanding of and commitment to professional ethical standards.
  - Students will communicate effectively in ways that are professionally appropriate.
- 

Question was called on the vote for the educational goals (introductory phrase and first four bullets).

**VOTE 1:** Motion carried unanimously.

**MOTION 2:** It was moved (Hoepfl) and seconded (Fisher) that the descriptors of the role of the Graduate School be approved.

Introductory sentence, suggested change

From: In support of these educational goals and with the collaboration of the colleges, schools, and departments, the Cratis D. Williams Graduate School will promote graduate education and will:

To: In support of these educational goals and with the collaboration of the colleges, schools, and departments, the Cratis D. Williams Graduate School will advocate for graduate education and will:

Bullet #1, suggested change

From: Work to maintain and increase the quality and currency of graduate programs through.

To: Work to maintain and increase the quality of graduate programs through.

Bullet #1, subbullet #1, suggested change

From: Ongoing assessment of degree and certificate programs in the context of the university's mission;

To: Ongoing assessment of degree and certificate programs in the context of the university's mission and current research and practice;

Bullet #2: suggested change

From: Provide guidance and support for recruiting increasingly diverse and qualified applicant pools.

To: Provide guidance and support for recruiting increasingly qualified and diverse applicants pools.

Add a bullet: Facilitate discussions of graduate program development.

Following discussion, the revisions are as follows:

-----  
In support of these educational goals and with the collaboration of the colleges, schools, and departments, the Cratis D. Williams Graduate School will advocate for graduate education and will:

- Work to enhance graduate programs through:
    - ongoing assessment of degree and certification programs in the context of the University’s mission and current research and practice;
    - enforcement of admission standards appropriate to identify qualified students who have the ability to complete a program successfully;
    - validation of student progress and adherence to the curriculum;
    - review and appointment of the graduate faculty.
  - Work to secure and allocate efficiently an increasing amount of resources, including assistantships, fellowships, tuition scholarships, and research grants, consistent with the needs of graduate education.
  - Provide guidance and support for recruiting increasingly qualified and diverse applicant pools.
  - Facilitate graduate program development
- 

Question was called.

**VOTE 2:** Motion unanimously approved.

2. The Task Force on Graduate Education recommended the development of a timeline to review graduate programs. It was requested that data gathered for the respective accreditation agencies be allowable for program review purposes. If interested in helping to draft the graduate program review timeline, notify Dr. Hirst via email. Discussion will continue in the Fall.

### **New Business**

#### 1. EduVentures Survey – Tom Fisher and Mary Englebert

In late Fall, the Provost held a retreat on distance education. What came out was the observation that there was no good data on graduate programs. Being a member of EduVentures, questions were drafted, and a survey was conducted in western NC. Responses were posted on April 15 and distributed to Council members. Council members can request additional information to be included in the final report.

The survey asked the likelihood of enrolling in graduate-level certificate or degree programs, reasons for not enrolling, and preferred mode of delivery – whether face-to-face or hybrid classes. Dr. Englebert indicated that similar questions were asked of enrolled students in the Fall, and the responses mimicked EduVentures.

Additional data mining requested: breakdowns by major. Additional requests should be sent to Tom Fisher.

Dr. Huntley suggested that the survey be shared with University Advancement as it corroborates the Brand Survey, showing that people don’t know that graduate programs are out there. Appalachian has filled needs but has not created a presence. Graduate programs should be encouraged to see what programs could be delivered in the field to attract students.

2. Report from the Student Committee. Dr. Davis reported that the committee met on April 7 to review applications for the Chancellor's Fellows, Provost Fellowships, and Alumni Fellowships. There were 107 applications that exceeded the minimum. Recommendations were made to the Graduate School, and a backup list was provided as students decline.

The NC General Assembly is still discussing out-of-state tuition waivers. Appalachian is losing students because it cannot guarantee these waivers.

3. Report from the Faculty Committee. Dr. Hoepfl reported that by early Fall the committee will have a recommendation concerning the Graduate Faculty language in the Faculty Handbook.

4. Other

- Dr. Davis announced there will be retirement party for Dr. Jack Mulgrew at Woodlands. Dr. Mulgrew is a long-time professor in Human Development and Psychological Counseling.
- Members rotating off of the Council: Dr. Killacky, Dr. Hoepfl, and Mr. Abbott. These members should contact Dr. Huntley if they wish to continue on the Graduate Council. With Mr. Fisher's retirement, there will be a new representative from Extension and Distance Education.
- Dr. Bazzini chairs the graduate education portion of the SACS self-study comprehensive standard review. By May 6, the first draft will be submitted to Georgia Donovan. Graduate degrees must look more rigorous than undergraduate degrees, with the curriculum covering knowledge of the literature as well as engaging students in professional research activity. The report identifies 3 of 50 graduate programs which do not demonstrate this rigor on paper. One is closing; another is merging into another program. Regarding knowledge of the discipline, 4 of 50 are not demonstrating compliance on paper, of which one is closing. None of the graduate certificates are currently out of compliance. Dr. Root also serves on this committee.

Motion to adjourn (Davis).

Graduate Studies Report  
URC and Graduate Council  
April 2011

(1) The work on implementing the degreeworks advising tool is proceeding. We will be arranging meetings with each program director over the course of the summer to refine the tool.

(2) We have no definitive news (as of 4/12/11) regarding the fate of the North Carolina Tuition Scholarship funds or the Academic Common Market Program. The House plan has cut the NCTS by more than 10% and has eliminated ACM effective 2012-13. The Senate may take further steps.

(3) The URC is working on reviewing the GRAM proposals, and we hope to announce recipients by April 21.

# Graduate Council

## April Announcements

- Year-to-date award information is available on the Web.
  - By the end of March we had submitted 243 proposals requesting a total of \$48,685,670. Requests are up in number only 9%, but are just shy of the year-end total for FY2010.
  - Likewise, we saw slow steady growth in awards during March. The number of awards was 10% higher than March of 2010 with only 3% growth in the overall award value as congress continued to wrestle with budgets and continuing resolutions. March included one new ARRA award to the University's Physical Plant.
  - Proposal submission counts continue to be off due to lagging documentation and the amount of current submission activity. For instance, in March OSP counted 29 fully completed submissions and 14 awards, but staff members are also actively engaged with 54 other proposals in varying stages of completion, and management of currently active awards.
- The Office of Sponsored Programs/Special Funds Accounting departmental assignment list is now complete and will be posted to the Web by the end of this week (April 11 – 15). A copy is attached for your convenience.
- Charlotte Smith taught a second budget development workshop for 7 faculty/staff members on April 5<sup>th</sup> in the Academic Affairs Conference Room.
- AGrants/RAMSeS testing continues with plans still progressing to train institutional approvers from May through June 30<sup>th</sup>. We are currently surveying department chairs and deans regarding availability and authorization alternate approvers who will be trained at the same time. These individuals will be given access to the test site prior to and after training. Moreover, OSP staff is working to complete several short online tutorials to complement workshop training.

## Upcoming Events

- The Office of Sponsored Programs is collaborating with the Office of Proposal Development to host an NIH workshop for ASU and neighboring institutions this fall. These offices are also collaborating to host a NCBC workshop for ASU

REPORT TO THE GRADUATE COUNCIL FY 2011  
July 1, 2010 - July 31, 2011

**SUBMISSIONS**

Last Year 2009 - 2010													
Month	TYPE					Monthly Count	First time submission	Actions	ARRA \$	ARRA #	Monthly Total	YTD Total	YTD Total
	Grant	Contract	MOA	Subcontract	Fellowship								
July	15	12	4	0	2	33	2	39	\$173,017	1	\$ 3,528,450	33	\$ 3,528,450
August	17	7	0	0	1	25	1	39	\$4,475,871	6	\$ 6,339,451	58	\$ 9,867,901
September	18	6	0	3	1	28	5	47	\$1,283,015	5	\$ 2,467,741	86	\$ 12,335,642
October	16	3	0	0	2	21	1	32	\$113,240	2	\$ 3,339,008	107	\$ 15,674,650
November	10	5	0	4	0	19	0	66	\$676,011	1	\$ 1,586,630	126	\$ 17,261,280
December	11	2	1	4	1	19	2	0	\$998,101	1	\$ 5,643,435	145	\$ 22,904,715
January	16	3	1	4	0	24	3	31	\$0	0	\$ 4,816,311	169	\$ 27,721,026
February	13	7	1	1	0	22	4	29	\$125,000	1	\$ 2,170,710	191	\$ 29,891,736
March	21	9	0	2	0	32	2	33	\$3,485,857	2	\$ 6,235,413	223	\$ 36,127,149
April	19	7	2	5	0	33	1	29	\$357,465	1	\$ 4,776,111	256	\$ 40,903,260
May	14	10	3	0	0	27	0	27	\$0	0	\$ 2,438,429	283	\$ 43,341,689
June	6	11		0	0	17	1	70	\$0	0	\$ 5,781,915	300	\$ 49,123,604

\*\*Dollar Amount and Percent Compared to Last Year:

\*\*Number of Submissions and Percent Compared to Last Year:

Current Year 2010 - 2011													
Month	TYPE					Monthly Count	First time	Other Actions	ARRA \$	ARRA #	Monthly Total	YTD Total	YTD Total
	Grant	Contract	MOA	Subcontract	Fellowship								
July	12	20	0	2	0	34	0		\$0	0	\$ 5,240,407	34	\$ 5,240,407
August	12	18	0	7	0	37	5	95	\$998,236	1	\$ 6,082,675	71	\$ 11,323,082
September	16	4	0	4	0	24	1	99	\$0	0	\$ 14,305,433	95	\$ 25,628,515
October	13	2	0	0	0	15	1	90	\$0	0	\$ 1,623,593	110	\$ 27,252,108
November	10	1	0	9	0	20	4	113	\$0	0	\$ 2,437,501	130	\$ 29,689,609
December	14	3	0	2	0	19	0	75	\$0	0	\$ 3,321,102	149	\$ 33,010,711
January	18	5	2	3	0	28	1	73	\$0	0	\$ 8,883,359	177	\$ 41,894,070
February	25	8	0	4	0	37	0	82	\$0	0	\$ 5,079,332	214	\$ 46,973,402
March	23	1	0	5	0	29	0	73	\$0	0	\$ 1,712,268	243	\$ 48,685,670
April													
May													
June													

Up \$ 12,558,521 35%

Up 20 9%

**AWARDS**

Last Year 2009 - 2010												
Month	TYPE					Monthly Count	First time award for	ARRA \$	ARRA #	Monthly Total	YTD Total	YTD Total
	Grant	Contract	MOA	Subcontract	Fellowship							
July	12	15	1	0	1	29	4	\$452,342	4	\$2,607,470	29	\$2,607,470
August	8	14	3	1	0	26	0	\$0	0	\$2,944,455	55	\$5,551,925
September	11	7	0	4	0	22	1	\$0	0	\$2,053,331	77	\$7,605,256
October	7	3	0	0	0	10	1	\$0	0	\$948,566	87	\$8,553,822
November	2	6	1	1	0	10	0	\$0	0	\$423,004	97	\$8,976,826
December	4	6	1	2	0	13	1	\$28,104	2	\$379,854	110	\$9,356,680
January	9	6	1	1	0	17	0	\$173,000	1	\$1,199,616	127	\$10,556,296
February	5	8	1	3	0	17	1	\$213,810	2	\$2,154,389	144	\$12,710,685
March	12	7	0	1	0	20	2	\$0	0	\$704,032	164	\$13,414,717
April	8	7	3	0	0	18	0	\$0	0	\$431,589	182	\$13,846,306
May	3	3	0	2	0	8	0	\$0	0	\$77,920	190	\$13,924,226
June	13	13	1	1	0	28	1	\$0	0	\$3,608,909	218	\$17,533,135

\*\*Dollar Amount and Percent Compared to Last Year:

\*\*Number of Submissions and Percent Compared to Last Year:

Current Year 2010 - 2011												
Month	TYPE					Monthly Count	First time	ARRA \$	ARRA #	Monthly Total	YTD Total	YTD Total
	Grant	Contract	MOA	Subcontract	Fellowship							
July	11	22	0	0	0	34	0	\$3,035,857	2	\$5,299,472	34	\$5,299,472
August	10	18	0	3	0	31	2	\$0	0	\$3,511,574	65	\$8,811,046
September	5	15	1	6	0	27	1	\$357,500	1	\$1,143,057	92	\$9,954,103
October	9	4	1	4	0	18	0	\$11,480	1	\$1,135,090	110	\$11,089,193
November	6	5	0	1	0	12	0	\$133,248	1	\$221,379	122	\$11,310,572
December	8	6	1	3	0	18	1	\$0	0	\$454,109	140	\$11,764,681
January	4	3	2	3	0	12	0	\$0	0	\$967,760	152	\$12,732,441
February	8	6	0	0	0	14	1	\$0	0	\$489,577	166	\$13,222,018
March	3	6	1	4	0	14	1	\$80,768	1	\$581,036	180	\$13,803,054
April												
May												
June												

Up \$388,337 3%

Up 16 10%



**SUBMISSION STATISTICS FOR FISCAL YEARS 2011, 2010, & 2009**

July 1 through June 30

	Mar. Year to Date Fiscal Year 2011		Fiscal Year 2010		Fiscal Year 2009	
	Proposals	Request	Proposals	Request	Proposals	Request
<b>Chancellor's Office</b>						
Athletics	1	\$221,226				
<b>Business Affairs</b>						
Design and Construction			4	\$226,490		
Office of Sustainability						
Physical Plant Administration	1	\$88,110	1	\$357,465		
Safety and Worker Compensation						
Safety Office	1	\$999				
University Police			2	\$485,935		
<b>UNIT TOTALS</b>	<b>3</b>	<b>\$310,335</b>	<b>7</b>	<b>\$1,069,890</b>		
<b>Academic Affairs</b>						
Belk Library	5	\$153,019	1	\$64,945		
Conferences and Institutes			4	\$433,400	2	\$53,458
Continuing Education			1	\$13,365	1	\$137,710
Enrollment Management					1	\$9,300
College Awareness Programs (GEAR UP & Upward Bound)	2	\$124,000	2	\$26,493		
Enrollment Services (ACCESS)			1	\$11,000	1	\$248,055
Equity, Diversity, and Compliance					1	\$1,000
Graduate School & Office of Research and Sponsored Programs	1	\$3,000	2	\$677,511	4	\$108,713
Institute for Health and Human Services (IHHS)***			3	\$1,001,399	13	\$966,396
Appalachian Family Innovations (AFI)	22	\$1,631,839	32	\$3,343,611	30	\$4,486,683
Math and Science Education Center						
NC Engineering Technology Center			1	\$9,656		
NW Science & Math Collaborative					2	\$112,249
Research Institute for Environment, Energy & Economics (RIEEE)*			7	\$2,217,080	1	\$34,444
Appalachian Energy Center	6	\$1,481,741	7	\$4,977,247	11	\$724,726
Ctr for Economic Research & Policy Analysis (CERPA)	3	\$11,062,382	3	\$702,824	2	\$173,254
<b>UNIT TOTALS</b>	<b>39</b>	<b>\$14,455,981</b>	<b>68</b>	<b>\$13,542,531</b>	<b>73</b>	<b>\$7,113,104</b>
<b>University College</b>						
Appalachian Studies	2	\$5,200	1	\$4,000	5	\$63,424
Global Studies			1	\$164,803	1	\$297,030
Interdisciplinary Studies			1	\$158,485	1	\$175,379
Learning Assistance Program	1	\$5,000	1	\$1,233,489	2	\$42,090
Office of Student Research						
Sustainable Development	3	\$195,147	1	\$7,019		
Service Learning						
Univ Documentary Services					1	\$10,000
Watauga Global Community			2	\$45,411	3	\$51,270
Women's Studies						
<b>UNIT TOTALS</b>	<b>6</b>	<b>\$205,347</b>	<b>7</b>	<b>\$1,613,207</b>	<b>13</b>	<b>\$639,193</b>
<b>International Education and Development</b>						
			4	\$844,073	7	\$1,015,642
<b>UNIT TOTALS</b>	<b>0</b>	<b>\$0</b>	<b>4</b>	<b>\$844,073</b>	<b>7</b>	<b>\$1,015,642</b>
<b>Colleges and Schools</b>						
<b>Arts and Sciences</b>						
Animal Facility	4	\$1,125,951	2	\$214,842		
Anthropology	2	\$288,116	6	\$406,009	1	\$24,596
Appalachian Center for Mountain Winegrowing			1	\$712,500	3	\$421,137
Biology	33	\$6,170,864	27	\$6,003,083	19	\$3,232,385
Center for Judaic, Holocaust & Peace Studies	1	\$24,000	4	\$64,000	3	\$32,520
Chemistry	3	\$375,320	4	\$2,230,082	8	\$1,111,058
Computer Science	4	\$1,649,310	4	\$1,550,589	2	\$1,427,246
English	1	\$0	4	\$386,821	11	\$380,729
Foreign Languages and Literatures	2	\$174,913				
Geography and Planning	5	\$638,487	4	\$64,499	7	\$754,684
Geology	16	\$915,280	19	\$1,585,695	13	\$1,887,935
Government and Justice Studies	3	\$14,000	2	\$37,903	3	\$669,059
History	4	\$508,653	5	\$73,570	7	\$715,515
Mathematical Sciences	7	\$1,982,184	7	\$2,318,165	5	\$490,325
Microscopy Lab**					1	\$29,210
Philosophy and Religion			2	\$74,256	1	\$21,460
Physics and Astronomy	11	\$5,045,187	9	\$4,455,062	12	\$2,590,774
Psychology	5	\$2,982,296	2	\$40,000	6	\$358,011
Social Work***			5	\$106,539	1	\$7,500
Sociology	13	\$147,718	28	\$836,673	13	\$713,136
<b>UNIT TOTALS</b>	<b>114</b>	<b>\$22,042,279</b>	<b>131</b>	<b>\$21,096,288</b>	<b>115</b>	<b>\$14,842,781</b>

**SUBMISSION STATISTICS FOR FISCAL YEARS 2011, 2010, & 2009**

July 1 through June 30

	Mar. Year to Date Fiscal Year 2011		Fiscal Year 2010		Fiscal Year 2009	
	Proposals	Request	Proposals	Request	Proposals	Request
<b>Business</b>						
Accounting					1	\$17,850
Center for Entrepreneurship	3	\$429,217	2	\$598,000	2	\$225,000
Computer Information Systems			1	\$15,000	1	\$24,808
Economics	2	\$446,062	3	\$92,815	4	\$148,086
Finance, Banking and Insurance						
Management	1	\$68,124	2	\$86,317	1	\$196,933
Marketing			3	\$2,100		
Small Business Technology Developmental Center	4	\$451,289	1	\$175,996	4	\$597,883
<b>UNIT TOTALS</b>	<b>10</b>	<b>\$1,394,692</b>	<b>12</b>	<b>\$970,228</b>	<b>13</b>	<b>\$1,210,560</b>
<b>Education</b>						
	2	\$58,100	1	\$183,672	3	\$532,140
Doctoral Pgm in Educational Leadership	1	\$2,217	1	\$15,000		
Curriculum and Instruction						
			6	\$1,274,734	6	\$942,601
Family and Consumer Sciences						
Human Dev & Psych Counseling	1	\$387,666	2	\$823,409	1	\$45,603
Leadership & Educational Studies	1	\$3,499,829	2	\$93,104	2	\$681,824
National Center for Developmental Education	1	\$70,387			2	\$122,787
NC School Health Training Center	2	\$211,818				
Reading Education and Special Educator	14	\$214,470	20	\$4,146,650	13	\$187,566
<b>UNIT TOTALS</b>	<b>22</b>	<b>\$4,444,487</b>	<b>32</b>	<b>\$6,536,569</b>	<b>27</b>	<b>\$2,512,521</b>
<b>Fine and Applied Arts</b>						
Art						
Communication	6	\$445,996			1	\$19,700
Family and Consumer Sciences***						
			1	\$10,000		
Health, Leisure & Exercise Science***			14	\$2,347,000	14	\$2,916,632
Military Science & Leadership						
					12	\$1,759,756
Technology						
	11	\$3,427,783	14	\$880,751		
Theatre & Dance	1	\$5,395	2	\$16,500	1	\$6,000
<b>UNIT TOTALS</b>	<b>18</b>	<b>\$3,879,174</b>	<b>31</b>	<b>\$3,254,251</b>	<b>28</b>	<b>\$4,806,692</b>
<b>School of Music</b>						
	1	\$77,361	1		4	\$118,012
<b>UNIT TOTALS</b>	<b>1</b>	<b>\$77,361</b>	<b>1</b>		<b>4</b>	<b>\$118,012</b>
<b>Health Sciences</b>						
	1	\$115,000				
Communication Sci & Disorders	1	\$1,884				
Health, Leisure & Exercise Science	15	\$1,039,126				
Institute for Health and Human Services (IHHS)	6	\$260,517				
Nursing						
Nutrition & Health Care Management	3	\$186,582				
Social Work	1	\$132,400				
<b>UNIT TOTALS</b>	<b>27</b>	<b>\$1,735,509</b>				
<b>Student Development</b>						
			1	\$4,489	1	\$8,215
ACT Volunteer Program						
Career Development						
Center for Student Involvement & Leadership						
Health Services						
			1	\$28,104		
Multicultural Student Development						
University Recreation						
					2	\$982,571
Wellness Center	1	\$3,105				
<b>UNIT TOTALS</b>	<b>1</b>	<b>\$3,105</b>	<b>2</b>	<b>\$32,593</b>	<b>3</b>	<b>\$990,786</b>
<b>University Advancement</b>						
Arts & Cultural Affairs						
	1	\$5,000				
Arts & Cultural Programs	1	\$132,400	3	\$134,350	1	\$142,992
Turchin Center for the Visual Arts			2	\$29,625	1	\$2,500
<b>UNIT TOTALS</b>	<b>2</b>	<b>\$137,400</b>	<b>5</b>	<b>\$163,975</b>	<b>2</b>	<b>\$145,492</b>
<b>GRAND TOTAL</b>	<b>243</b>	<b>\$48,685,670</b>	<b>300</b>	<b>\$49,123,605</b>	<b>285</b>	<b>\$33,394,783</b>

**SUBMISSION STATISTICS FOR FISCAL YEARS 2011, 2010, & 2009**

July 1 through June 30

Sponsor Data	Mar. Year to Date Fiscal Year 2011		Fiscal Year 2010		Fiscal Year 2009	
	Proposals	Request	Proposals	Request	Proposals	Request
Federal	73	\$34,060,300				
State	19	\$2,862,166				
Foundation	19	\$3,538,093				
Industry	15	\$1,168,655				
UNC Institutions	12	\$427,053				
NC Local Gov	24	\$1,436,030				
Other State Gov	18	\$2,910,813				
Not For Profit	63	\$2,292,560				
<b>Total</b>	<b>243</b>	<b>\$48,695,670</b>				

**Institutes** (These cumulative figures include multidisciplinary projects attributed to lead departments above and projects led by Institute personnel)

Institute for Health and Human Services (IHHS)	6	\$260,517	3	\$1,001,399	13	\$966,396
Appalachian Family Innovations	22	\$1,631,839	32	\$3,343,611	30	\$4,486,683
<b>UNIT TOTALS</b>	<b>28</b>	<b>\$1,892,356</b>	<b>35</b>	<b>\$4,345,010</b>	<b>43</b>	<b>\$5,453,079</b>
Research Institute for Environment, Energy & Economics (RIEEE)	6	\$1,557,890	6	\$2,217,080	1	\$34,444
Appalachian Energy Center	6	\$1,481,741	7	\$4,977,247	11	\$724,726
Ctr for Economic Research & Policy Analysis (CERPA)	3	\$11,062,382	3	\$702,824	2	\$173,254
<b>UNIT TOTALS</b>	<b>15</b>	<b>\$14,102,013</b>	<b>16</b>	<b>\$7,897,151</b>	<b>14</b>	<b>\$932,424</b>

\* Institute dollars reported in this section reflect projects submitted directly through the Institute.

Institute activity that includes departmental affiliations is captured in the cumulative Institute data at the end of this report.

\*\*Reflects historical data.

Beginning 7/1/2010, these OSP reports will reflect only departments, institutes, centers, etc. that have an Appalachian "Org" number assigned & reported to OSP by Academic Affairs.

\*\*\*Reflects historical data. Department realigned in new unit as of 7/1/2010

**AWARD STATISTICS FOR FISCAL YEARS 2011, 2010, & 2009**

July 1 through June 30

	Mar. Year to Date Fiscal Year 2011		Fiscal Year 2010		Fiscal Year 2009	
	Proposals	Award	Proposals	Award	Proposals	Award
<b>Chancellor's Office</b>						
<b>Athletics</b>						
<b>Business Affairs</b>						
Design and Construction					1	\$500,000
Office of Sustainability						
Physical Plant Administration	2	\$438,268				
Safety and Worker Compensation						
Safety Office	1	\$1,000				
University Police			1	\$15,200		
<b>UNIT TOTALS</b>	<b>3</b>	<b>\$439,268</b>	<b>1</b>	<b>\$15,200</b>	<b>1</b>	<b>\$500,000</b>
<b>Academic Affairs</b>						
Belk Library	2	\$3,050	2	\$18,609	3	\$58,282
Conferences and Institutes	1	\$10,690	1	\$13,365	2	\$152,428
Continuing Education					1	\$9,300
Enrollment Management						
College Awareness Programs (GEAR UP & Upward Bound)	1	\$1,133,519	2	\$571,065		
Enrollment Services (ACCESS)			5	\$846,990	3	\$1,231,354
Equity, Diversity, and Compliance					2	\$1,400
Graduate School & Research and Sponsored Programs	1	\$3,000			4	\$108,013
Institute for Health and Human Services (IHHS)***			2	\$10,000	12	\$367,018
Appalachian Family Innovations	28	\$2,408,374	44	\$4,296,760	41	\$3,090,393
Human Dev. Research & Training Center			1	\$106,734		
Math and Science Education Center					5	\$216,210
NC Engineering Technology Center					1	\$143,449
NW Science & Math Collaborative					3	\$308,309
Research Institute for Environment, Energy & Economics (RIEEE)*	4	\$79,884	4	\$1,363,950	1	\$34,444
Appalachian Energy Center	6	\$3,152,783	1	\$120,000	10	\$336,194
Ctr for Economic Research & Policy Analysis (CERPA)	1	\$10,000	2	\$30,528	2	\$169,630
<b>UNIT TOTALS</b>	<b>47</b>	<b>\$6,818,319</b>	<b>65</b>	<b>\$7,442,946</b>	<b>90</b>	<b>\$6,226,424</b>
<b>University College</b>						
Appalachian Studies	2	\$5,200	3	\$35,275	2	\$11,250
Global Studies			1	\$69,929		
Interdisciplinary Studies					1	\$9,200
Learning Assistance Program	2	\$802,495	2	\$310,794	2	\$295,097
Office of Student Research						
Service Learning						
Sustainable Development						
Univ Documentary Services						
Watauga Global Community						
Women's Studies						
<b>UNIT TOTALS</b>	<b>4</b>	<b>\$807,695</b>	<b>6</b>	<b>\$415,998</b>	<b>5</b>	<b>\$315,547</b>
<b>International Education and Development</b>						
	1	\$32,371	3	\$775,000	2	\$318,427
<b>UNIT TOTALS</b>	<b>1</b>	<b>\$32,371</b>	<b>3</b>	<b>\$775,000</b>	<b>2</b>	<b>\$318,427</b>
<b>Colleges and Schools</b>						
<b>Arts and Sciences</b>						
Animal Facility						
Anthropology					1	\$15,085
Appalachian Center for Mountain Winegrowing			1	\$712,500	1	\$9,549
Biology	16	\$814,309	17	\$1,258,796	12	\$451,508
Center for Judaic, Holocaust & Peace Studies			3	\$53,000	3	\$33,720
Chemistry	1	\$86,135			4	\$410,403
Computer Science	2	\$215,011			4	\$455,743
English			1	\$835	1	\$15,000
Foreign Languages and Literatures	1	\$91,373			1	\$33,490
Geography and Planning			5	\$273,806	5	\$83,800
Geology	4	\$68,782	10	\$740,527	5	\$197,039
Government and Justice Studies	2	\$9,000	1	\$143,369	1	\$318,482
History	2	\$68,761	6	\$298,487	3	\$126,000
Mathematical Sciences	2	\$12,978	4	\$402,748	3	\$482,453
Microscopy Lab**					1	\$49,231
Philosophy and Religion						
Physics and Astronomy	2	\$25,610	8	\$352,563	7	\$676,125
Psychology	1	\$18,000	1	\$18,103	6	\$114,184
Social Work***			4	\$63,558	1	\$5,000
Sociology	12	\$214,007	20	\$831,169	16	\$722,045
<b>UNIT TOTALS</b>	<b>47</b>	<b>\$1,640,049</b>	<b>82</b>	<b>\$5,659,081</b>	<b>75</b>	<b>\$4,198,857</b>

**AWARD STATISTICS FOR FISCAL YEARS 2011, 2010, & 2009**

July 1 through June 30

	Mar. Year to Date Fiscal Year 2011		Fiscal Year 2010		Fiscal Year 2009	
	Proposals	Award	Proposals	Award	Proposals	Award
<b>Business</b>						
Accounting						
Center for Entrepreneurship	2	\$9,348	3	\$59,348	4	\$84,348
Computer Information Systems			1	\$15,000		
Economics	1	\$8,000	2	\$90,415	2	\$39,885
Finance, Banking and Insurance						
Management						
Marketing			3	\$2,100	3	\$401,823
Small Business Technology Development Center	11	\$551,356	2	\$196,057		
<b>UNIT TOTALS</b>	<b>14</b>	<b>\$568,704</b>	<b>11</b>	<b>\$362,920</b>	<b>9</b>	<b>\$526,056</b>
<b>Education</b>						
Doctoral Pgm in Educational Leadership	1	\$2,253	1	\$15,000	4	\$312,141
Curriculum and Instruction	2	\$77,972	6	\$1,035,668	5	\$684,367
Family and Consumer Sciences						
Human Dev & Psych Counseling			1	\$5,000		
Leadership & Educational Studies	2	\$515,968	2	\$527,787	1	\$470,613
National Center for Developmental Education	1	\$70,387				
NC School Health Training Center	2	\$212,078				
Reading Education and Special Education	16	\$505,017	15	\$750,756	9	\$160,522
<b>UNIT TOTALS</b>	<b>28</b>	<b>\$1,612,542</b>	<b>27</b>	<b>\$2,517,882</b>	<b>19</b>	<b>\$1,627,643</b>
<b>Fine and Applied Arts</b>						
Art						
Communication			1	\$1,000	1	\$4,000
Health, Leisure & Exercise Science***			8	\$91,461	6	\$79,154
Military Science & Leadership						
Technology	6	\$321,801	9	\$186,793	12	\$708,039
Theatre & Dance	1	\$9,900				
<b>UNIT TOTALS</b>	<b>7</b>	<b>\$331,701</b>	<b>18</b>	<b>\$279,254</b>	<b>19</b>	<b>\$791,193</b>
<b>School of Music</b>						
<b>UNIT TOTALS</b>	<b>0</b>	<b>\$0</b>	<b>1</b>	<b>\$8,500</b>	<b>0</b>	<b>\$0</b>
<b>Health Sciences</b>						
Communication Sci & Disorders	1	\$115,000				
Health, Leisure & Exercise Science	14	\$870,986				
Institute for Health and Human Services (IHHS)	8	\$516,605				
Nursing						
Nutrition & Health Care Management	1	\$28,825				
Social Work						
<b>UNIT TOTALS</b>	<b>25</b>	<b>\$1,533,300</b>	<b>0</b>	<b>\$0</b>	<b>0</b>	<b>\$0</b>
<b>Student Development</b>						
ACT Volunteer Program					1	\$42,127
Career Development					1	\$5,034
Center for Student Involvement & Leadership					1	\$14,993
Health Services			1	\$28,104		
Multicultural Student Development						
University Recreation			1	\$0	0	\$0
Wellness Center	1	\$3,105			1	\$7,703
<b>UNIT TOTALS</b>	<b>1</b>	<b>\$3,105</b>	<b>2</b>	<b>\$28,104</b>	<b>4</b>	<b>\$69,857</b>
<b>University Advancement</b>						
Arts & Cultural Affairs	1	\$5,000				
Arts & Cultural Programs	1	\$3,000	2	\$28,250	0	\$0
Turchin Center for the Visual Arts	1	\$8,000	0	\$0	1	\$2,500
<b>UNIT TOTALS</b>	<b>3</b>	<b>\$16,000</b>	<b>2</b>	<b>\$28,250</b>	<b>1</b>	<b>\$2,500</b>
<b>GRAND TOTAL</b>	<b>180</b>	<b>\$13,803,054</b>	<b>218</b>	<b>\$17,533,135</b>	<b>225</b>	<b>\$14,576,504</b>

**AWARD STATISTICS FOR FISCAL YEARS 2011, 2010, & 2009**

July 1 through June 30

	Mar. Year to Date Fiscal Year 2011		Fiscal Year 2010		Fiscal Year 2009	
	Proposals	Award	Proposals	Award	Proposals	Award
<b>Sponsor Data</b>						
Federal	39	\$2,978,534				
State	34	\$5,473,049				
Foundation	9	\$578,265				
Industry	14	\$862,554				
UNC Institutions	10	\$323,817				
NC Local Gov	24	\$540,790				
Other State Gov	12	\$770,344				
Not For Profit	38	\$2,275,701				
<b>Total</b>	<b>180</b>	<b>\$13,803,054</b>				
<b>Institutes</b> (These cumulative figures include multidisciplinary projects attributed to lead departments above and projects led by Institute personnel)						
Institute for Health and Human Services (IHHS)	8	\$516,605	2	\$10,000	12	\$367,018
Appalachian Family Innovations	28	\$2,408,374	44	\$4,296,760	41	\$3,090,393
<b>UNIT TOTALS</b>	<b>36</b>	<b>\$2,924,979</b>	<b>46</b>	<b>\$4,306,760</b>	<b>53</b>	<b>\$3,457,411</b>
Research Institute for Environment, Energy & Economics (RIEEE)	4	\$79,884	4	\$1,363,950	1	\$34,444
Appalachian Energy Center	6	\$3,152,783	1	\$120,000	10	\$336,194
Ctr for Economic Research & Policy Analysis (CERPA)	1	\$10,000	2	\$30,528	2	\$169,630
<b>UNIT TOTALS</b>	<b>11</b>	<b>\$3,242,667</b>	<b>7</b>	<b>\$1,514,478</b>	<b>13</b>	<b>\$540,268</b>

\* Institute dollars reported in this section reflect projects submitted directly through the institute.

Institute activity that includes departmental affiliations is captured in the cumulative Institute data at the end of this report.

\*\*Reflects historical data.

Beginning 7/1/2010, these OSP reports will reflect only departments, institutes, centers, etc. that have an Appalachian ORG number assigned & reported to OSP by Academic Affairs.

\*\*\*Reflects historical data. Department realigned in new unit as of 7/1/2010

**Graduate Council / April 2011**  
**Report from Dr. Pollyanne Frantz, Director of Proposal Development**

**Events:**

- Three workshops for the GRAM Fellows on locating and evaluating funding opportunities and an overview of grant proposal writing fundamentals (March 16, 21 and 28, 2011).
- April “First Fridays” event featuring the “Community Based Landfill Gas Utilization in Brazil” project (April 1, 2011).

**Research Development Officers (RDOs):**

\*CAS RDO:

- No report.

\*CFAA RDO:

- Ed Brewer met with two Communication faculty members to discuss research projects and URC proposals.

\*UC RDO:

- No report.

\*WCOB RDO:

- No report.

\*BLIC RDO:

- Megan Johnson continues to coordinate planning efforts for the Research Support Fair on October 21, 2011.

\*HSOM RDO:

- No report.

**Other Activities:**

- Several RDOs served as proposal reviewers for internal competitions
- Two internal competitions were conducted for external funding opportunities with limited submission restrictions
- Proposal Development Assistant Amy Love was one of four presenters at the North Carolina Society of Research Administrators conference session titled “Proposal Development: Tools of the Trade,” facilitated by Pollyanne Frantz (38 session attendees)
- Pollyanne Frantz gave a guest lecture on grant proposal writing fundamentals to students in Dr. Wendy Winn’s ENG 5535 class (9 students)
- Amy Love is serving as the campus liaison for the REACH NC expertise portal implementation

- Pollyanne Frantz attended the annual meeting of the ORAU (Oak Ridge Associated Universities) Council of Sponsoring Institutions.

**Upcoming Events:**

*For a complete listing of events, see <http://www.orsp.appstate.edu/events/index.html>.*

- Two additional GRAM workshops on locating and evaluating funding opportunities (April 12 & 13, 2011)
- Professional Writing Retreat for Faculty / Grant Proposal Writing Workshop (May 9-12, 2011). See <http://www.hubbard.appstate.edu/retreats>.



Graduate Council / April 2011  
Julie Taubman, Research Protections (RP)

Events

- CHE 3000, The Responsible Conduct of Research (24 participants)
- HPC class, Navigating the IRB (14 participants)

Human Research Protection Program (HRPP) and the IRB

- The pace of IRB submissions continues to be 25% higher than last year
- There was a problem with our IRB software and expiration notices were not sent for several months. We worked with the developers of the software in Chapel Hill to remedy the problem.
- Referred the issue of external investigators seeking approval to recruit Appalachian participants to General Counsel and the Provost
- IRB Administrators attended a Biobanking webinar

Institutional Animal Care and Use Committee (IACUC)

- RP staff and IACUC personnel met with Dr. Lubarsky and Dr. Fanatico to discuss the new Sustainable Development farm and the review of animal activities on the farm

Institutional Biosafety Council (IBC)

- The IBC requested that the Chancellor approve the Policy on Recombinant DNA
- Dr. Howard Neufeld agreed to serve on the IBC as a plant expert
- Dr. Scott Collier and Mary Margaret Cavanaugh conducted 2 lab inspections per policy

Radiation Safety

- Radiation Safety Officer, Dr. Brian Raichle, conducted semiannual sealed source wipe tests

Export Controls

- No report

Intellectual Property

- No report

Upcoming Events

- Oscar Knight, Julie Taubman and Amy Love will facilitate a Hubbard Center Workshop, "Research Data Security and Data Sharing", in Fall 2011