

Appalachian State University
Graduate Council
March 21, 2011
(Approved April 18, 2011)

Present: Becki Battista, Doris Bazzini, Joseph Cazier, Susan Davies, Keith Davis, Pollyanne Frantz, Tom Fisher, Charles Gibson, Dru Henson, Holly Hirst, Marie Hoepfl, Lisa Holliday, Charna Howson, Edelma Huntley, Jim Killacky, Katherine Ledford, Mike Mayfield, Martin Root, Julie Taubman, Peter Villanova

Excused: John Abbott, Jenny Primm, Jennifer Snodgrass, Glenda Treadaway

Absent: Charles Duke, Randy Edwards, William Pelto, Fred Whitt

Guest: Ed Folts

Dr. Huntley called the meeting to order. It was moved (Ledford) and seconded (Root) that the minutes of the February 21 meeting be approved. Motion carried. None opposed; no abstentions.

Update from the Dean – Edelma Huntley

- There is a commission looking at the duplication of programs across the UNC System. With budget cuts, this may impact programs across the state. It is hoped that the commission does not include private colleges in their review of program offerings.

Council members are encouraged to talk to their departments and colleges to think how ASU can label its programs as different, unique, and providing a program that is not duplicated elsewhere in the UNC System. Some universities are taking this as an opportunity to review programs due to the NC budget crisis. For example, Chapel Hill eliminated a master's degree, and then collaborated with Duke University for a dual degree.

- Provost Search. There are 79 applications with 40 viable candidates. The committee is expecting to receive 40-50 more. The committee will meet on March 28 to select candidates to invite to airport interviews (April 4-5). Campus interview dates are April 18-19, April 25-26, May 2-3, and May 4-5. The committee is speaking often with the Chancellor to keep communication open with this search.

Nationwide searches are now being conducted in both Fall and Spring. There are approximately ten other Provost searches in progress.

- The State is reviewing Facilities and Administration (F&A) funds across the state, with a possible 10% reduction in funding. Although the state cannot take F&A funds, it can reduce the state allocation by this amount.

Announcements from Graduate Studies – Holly Hirst

- Council members were encouraged to read the Graduate Studies report (attached).
- The Graduate Student Association Senate will hold a fundraiser on April 1 at 8:00 p.m. at Char restaurant. Contributed pies will be available for auction or tasting.

- Dr. Huntley distributed a talking points paper regarding NC Tuition Scholarships. ASU successfully worked to increase international student enrollment, and now the funding to assist these students is uncertain. Council members are asked to speak to their development officers about financial support for students.

Announcements from Sponsored Programs – Charna Howson

- Council members were encouraged to read the Sponsored Programs reports (attached).
- A-Grants/RAMSeS training will begin in May for persons authorized to approve proposals going off-campus.
- Awards are \$13.3M for the end of February. Awards in 2009-10 totaled \$17M.

Announcements from Proposal Development – Pollyanne Frantz

- Council members were encouraged to read the Proposal Development report (attached).
- Workshops were conducted in March for GRAM Fellows on locating and evaluating funding opportunities and an overview of grant proposal writing fundamentals.
- First Fridays – April 1: “Community Based Landfill Gas Utilization in Brazil” project.
- Visit <http://www.hubbard.appstate.edu/retreats> for information on the “Professional Writing Retreat for Faculty / Grant Proposal Writing Workshop” to be held May 9-12. To be held in conjunction with the retreat is a grant proposal writing workshop.

Announcements from Research Protections – Julie Taubman

- Council members were encouraged to read the Research Protections report (attached).
- Research Protections is working to transition compliance with the Cuban Asset Control Regulations to the Office of International Education and Development.
- Graduate Studies had its first international student in a sensitive department. The Graduate School had to counsel with the department to discuss restricted access when this student arrives.

Curriculum Proposals from the Academic Policies Committee – Holly Hirst

MOTION: The Academic Policies Committee approves the two course proposals from Sociology effective Fall 2012.

- *CAS_SOC_2010_5. Change description of SOC 5630: Programs & Services for Older Adults*
- *CAS_SOC_2010_7. Delete SOC 5570: The Addictive Process. We are removing the Sociology dual-listing.*

Discussion: Previously cross-listed/dual-listed as SW/SOC 4630/5630, the courses will now be listed as SW 4630 and SOC 5630.

VOTE: Motion carried. None opposed; no abstentions.

OLD BUSINESS – Continued discussion of the mission, vision, and goals of the Graduate School. Below is the revised version.

Mission

The Cratis D. Williams Graduate School supports the academic and professional development of graduate students by offering a challenging intellectual environment that promotes shared learning and discovery. A supportive graduate faculty advances graduate students' curiosity, passion, and knowledge, engaging students as colleagues and guiding students to become active contributors to knowledge, creativity, and innovation in their disciplines.

Guiding Principle

The Graduate School accomplishes its mission by developing, fostering, and monitoring quality graduate degree and certificate programs; ensuring a highly qualified and engaged graduate faculty; and supporting graduate students through fellowships, tuition scholarships, assistantships, student awards, and research grants.

Vision

With emphasis on graduate education as a fundamental part of the University's strategic priorities, the Graduate School aspires to be recognized for innovative and responsive graduate programs that provide relevant academic and professional experiences for its students, preparing them for rewarding careers in the increasingly diverse communities of North Carolina, the nation, and the world.

Goals

The Graduate School advocates the following educational goals for each graduate student:

- Students will demonstrate mastery of the discipline at a level of rigor commensurate with post baccalaureate experience.
- Students will develop and apply skills in current research and/or professional practices to address complex issues in an increasingly diverse and global society.
- Students will acquire an understanding of and commitment to professional ethical standards.
- Students will communicate effectively in ways that are appropriate for a professional.

In support of these educational goals and with the collaboration of the colleges, schools, and departments, the Cratis D. Williams Graduate School will promote graduate education and will:

- Work to maintain and increase the quality and currency of graduate programs through:
 - ongoing assessment of degree and certificate programs in the context of the University's mission;
 - enforcement of admissions standards or criteria that ensure student success;
 - validation of student progress and adherence to the curriculum;
 - review and appointment of the graduate faculty.
- Provide guidance and support for recruiting increasingly diverse and qualified applicant pools.
- Work to secure and allocate efficiently an increasing amount of resources, including assistantships, fellowships, tuition scholarships, and research grants, consistent with the needs of graduate education.

It was moved (Hoepfl) and seconded (Root) that the edits as discussed be approved.

It was noted that “graduate education” is not a core value of the university. The wording was changed to “the University’s strategic priority.”

Dr. Hoepfl accepted the amendment. Second by Dr. Root.

MOTION: To accept the mission, guiding principle, and vision of the Graduate School.

VOTE: Motion carried. None opposed; no abstentions.

Discussion of the goals will continue at the April meeting.

Other

1. Dr. Davis asked the Student Development Committee to meet following this meeting. The committee will be reviewing Chancellor’s, Provost and Alumni Fellowships.
2. Dr. Hoepfl reported that the Faculty Development Committee will have recommendations at the April meeting. She and Dr. Huntley will discuss convening the Graduate Faculty.
3. Dr. Davis expressed appreciation to Dr. Bazzini and her subcommittee for its work with graduate education standards and requirements for reaffirmation of accreditation. Council members also serving on the committee are Dr. Root and Dr. Henson. Don Cox chairs the Institutional Effectiveness: Academic Departments subcommittee, also reviewing graduate program mission, vision, goals, and assessment plans.

It was moved that the meeting be adjourned.

Graduate Studies Report
To the Graduate Council and the University Research Council
Holly Hirst
March 2011

(1) The deadline for the GRAM (graduate research assistant mentoring) and DRA (diversity research assistant) programs is April 1. We will be awarding up to 10 GRAMs and up to 5 DRAs for academic year 2011-12.

(2) We still have no word on the fate of the NC Tuition Scholarship funding that we usually receive through the legislature's allocation to the UNC System. The current budget discussions in Raleigh have these funds on the list of cuts needed for balancing next year's state budget.

If we do not get these funds, we will be unable to offer any tuition assistance to non-NC resident graduate assistants, including international students. This will severely limit the number of non-NC students we can recruit and retain. We are seeking funding through several channels to cover the NC Tuition Scholarships for the current international students, who were brought here under the assumption that we would have this funding for them for two years if they retained high GPAs.

(3) There are 87 fellowship applications that meet or exceed the minimum GPA/Test Score requirements, and the Student Committee of the Graduate Council is working on selecting the recipients.

(4) The following awards will be presented at the Spring Student Awards Reception, and several committees are in the process of selecting the recipients:

- Outstanding Thesis Awards in Science/Technology; Arts/Humanities; Education/Social Science/Business
- Transforming North Carolina Graduate Student Research Award
- Outstanding Graduate Student Service Award
- Outstanding Graduate Teaching Assistant Award
- Induction into the Alpha Epsilon Lambda Graduate Student Honor Society

Graduate Council

March 2011

Grant and Contract Activity

- Although they do not all show up in the February report due to delays in processing, OSP staff submitted over 44 proposals in February. At present, our total number of fully processed submissions is equal to an additional month of average submission numbers. Dollars requested are approximately \$2 million less than our total requests for all of FY2010.
- Award receipts are also up 4% at \$13.2 million at the end of February, about a month ahead of where we were this time last year.

Special Events

- OSP staff offered workshops on budget development (5 participants) and on contracts and agreements (7 participants). The staff is exploring ways to make these programs available to a greater number of participants while also looking at additional programming needs.

Other Activities

- The Director of OSP led a successful meeting of the North Carolina Chapter of the Society of Research Administrators in Greensboro from March 14-16, 2011. Eight research administrators from ASU (5 OSP, 2 OPD, 1 CAS) were among the over 200 attendees. The program included intensive half and full day workshops as well as a day and a half of targeted program offerings.

Upcoming Events

- AGrants/RAMSeS training will begin the week of May 9th for those authorized to sign for a department, dean, center, institute or other campus unit. Faculty and staff will be trained between July 1 and December 31. As of January 1, 2012, campus routing of proposals to externally sponsored projects will be an entirely paperless process.

REPORT TO THE GRADUATE COUNCIL FY 2011
July 1, 2010 - July 31, 2010

SUBMISSIONS

Last Year 2009 - 2010													
Month	TYPE					Monthly Count	First time submission	Other Actions	ARRA \$	ARRA #	Monthly Total	YTD Total	YTD Total
	Grant	Contract	MOA	Subcontract	Fellowship								
July	15	12	4	0	2	33	2	39	\$173,017	1	\$ 3,528,450	33	\$ 3,528,450
August	17	7	0	0	1	25	1	39	\$4,475,871	6	\$ 6,339,451	58	\$ 9,867,901
September	18	6	0	3	1	28	5	47	\$1,283,015	5	\$ 2,467,741	86	\$ 12,335,642
October	16	3	0	0	2	21	1	32	\$113,240	2	\$ 3,339,008	107	\$ 15,674,650
November	10	5	0	4	0	19	0	66	\$676,011	1	\$ 1,586,630	126	\$ 17,261,280
December	11	2	1	4	1	19	2	0	\$998,101	1	\$ 5,643,435	145	\$ 22,904,715
January	16	3	1	4	0	24	3	31	\$0	0	\$ 4,816,311	169	\$ 27,721,026
February	13	7	1	1	0	22	4	29	\$125,000	1	\$ 2,170,710	191	\$ 29,891,736
March	21	9	0	2	0	32	2	33	\$3,485,857	2	\$ 6,235,413	223	\$ 36,127,149
April	19	7	2	5	0	33	1	29	\$357,465	1	\$ 4,776,111	256	\$ 40,903,260
May	14	10	3	0	0	27	0	27	\$0	0	\$ 2,438,429	283	\$ 43,341,689
June	6	11		0	0	17	1	70	\$0	0	\$ 5,781,915	300	\$ 49,123,604

**Dollar Amount and Percent Compared to Last Year:

**Number of Submissions and Percent Compared to Last Year:

Current Year 2010 - 2011													
Month	TYPE					Monthly Count	First time	Other Actions	ARRA \$	ARRA #	Monthly Total	YTD Total	YTD Total
	Grant	Contract	MOA	Subcontract	Fellowship								
July	12	20	0	2	0	34	0		\$0	0	\$ 5,240,407	34	\$ 5,240,407
August	12	18	0	7	0	37	5	95	\$998,236	1	\$ 6,082,675	71	\$ 11,323,082
September	16	4	0	4	0	24	1	99	\$0	0	\$ 14,305,433	95	\$ 25,628,515
October	13	2	0	0	0	15	1	90	\$0	0	\$ 1,623,593	110	\$ 27,252,108
November	10	1	0	9	0	20	4	113	\$0	0	\$ 2,437,501	130	\$ 29,689,609
December	14	3	0	2	0	20	0	75	\$0	0	\$ 3,518,102	150	\$ 33,207,711
January	19	5	2	3	0	28	1	73	\$0	0	\$ 8,883,359	178	\$ 42,091,070
February	25	8	0	4	0	37	0	82	\$0	0	\$ 5,079,332	215	\$ 47,170,402
March													
April													
May													
June													

Up \$ 17,278,666 58%

Up 24 13%

AWARDS

Last Year 2009 - 2010												
Month	TYPE					Monthly Count	First time award for	ARRA \$	ARRA #	Monthly Total	YTD Total	YTD Total
	Grant	Contract	MOA	Subcontract	Fellowship							
July	12	15	1	0	1	29	4	\$452,342	4	\$2,607,470	29	\$2,607,470
August	8	14	3	1	0	26	0	\$0	0	\$2,944,455	55	\$5,551,925
September	11	7	0	4	0	22	1	\$0	0	\$2,053,331	77	\$7,605,256
October	7	3	0	0	0	10	1	\$0	0	\$948,566	87	\$8,553,822
November	2	6	1	1	0	10	0	\$0	0	\$423,004	97	\$8,976,826
December	4	6	1	2	0	13	1	\$28,104	2	\$379,854	110	\$9,356,680
January	9	6	1	1	0	17	0	\$173,000	1	\$1,199,616	127	\$10,556,296
February	5	8	1	3	0	17	1	\$213,810	2	\$2,154,389	144	\$12,710,685
March	12	7	0	1	0	20	2	\$0	0	\$704,032	164	\$13,414,717
April	8	7	3	0	0	18	0	\$0	0	\$431,589	182	\$13,846,306
May	3	3	0	2	0	8	0	\$0	0	\$77,920	190	\$13,924,226
June	13	13	1	1	0	28	1	\$0	0	\$3,608,909	218	\$17,533,135

**Dollar Amount and Percent Compared to Last Year:

**Number of Submissions and Percent Compared to Last Year:

Current Year 2010 - 2011												
Month	TYPE					Monthly Count	First time	ARRA \$	ARRA #	Monthly Total	YTD Total	YTD Total
	Grant	Contract	MOA	Subcontract	Fellowship							
July	11	22	0	0	0	34	0	\$3,035,857	2	\$5,299,472	34	\$5,299,472
August	10	18	0	3	0	31	2	\$0	0	\$3,511,574	65	\$8,781,046
September	5	15	1	6	0	27	1	\$357,500	1	\$1,143,057	92	\$9,924,103
October	9	4	1	4	0	18	0	\$11,480	1	\$1,135,090	110	\$11,059,193
November	6	5	0	1	0	12	0	\$133,248	1	\$221,379	122	\$11,280,572
December	8	6	1	3	0	18	1	\$0	0	\$454,109	140	\$11,734,681
January	4	3	2	3	0	12	0	\$0	0	\$967,760	152	\$12,702,441
February	8	6	0	0	0	14	1	\$0	0	\$489,577	166	\$13,222,018
March												
April												
May												
June												

Up \$511,333 4%

Up 22 15%

SUBMISSION STATISTICS FOR FISCAL YEARS 2011, 2010, & 2009

July 1 through June 30

	Feb. Year to Date	Fiscal Year 2011	Fiscal Year 2010	Fiscal Year 2009
	Proposals	Request	Proposals	Request
Chancellor's Office				
Athletics	1	\$221,226		
Business Affairs				
Design and Construction			4	\$226,490
Office of Sustainability				
Physical Plant Administration			1	\$357,465
Safety and Worker Compensation				
Safety Office	1	\$999		
University Police			2	\$485,935
UNIT TOTALS	2	\$222,225	7	\$1,069,890
Academic Affairs				
Animal Facility				1
Belk Library			4	\$433,400
Center for Judaic, Holocaust & Peace Studies	2	\$24,000	4	\$64,000
Conferences and Institutes			1	\$13,365
Continuing Education				1
Enrollment Management				
College Awareness Programs (GEAR UP & Upward Bound)	2	\$124,000	2	\$26,493
Enrollment Services (ACCESS)			1	\$11,000
Equity, Diversity, and Compliance				1
Graduate School & Office of Research and Sponsored Programs	1	\$3,000	2	\$677,511
Institute for Health and Human Services (IHHS)*	5	\$259,517	3	\$1,001,399
Appalachian Family Innovations (AFI)	22	\$1,631,839	32	\$3,343,611
Math and Science Education Center				
NC Engineering Technology Center			1	\$9,656
NW Science & Math Collaborative				2
Research Institute for Environment, Energy & Economics (RIEEE)*			7	\$2,217,080
Appalachian Energy Center	7	\$1,678,741	7	\$4,977,247
Ctr for Economic Research & Policy Analysis (CERPA)	3	\$11,062,382	3	\$702,824
UNIT TOTALS	44	\$14,936,498	68	\$13,542,531
University College				
Appalachian Studies	2	\$5,200	1	\$4,000
Global Studies			1	\$164,803
Interdisciplinary Studies			1	\$158,485
Learning Assistance Program	1	\$5,000	1	\$1,233,489
Office of Student Research				
Sustainable Development	3	\$195,147	1	\$7,019
Service Learning				
Univ Documentary Services				1
Watauga Global Community			2	\$45,411
Women's Studies				3
UNIT TOTALS	6	\$205,347	7	\$1,613,207
International Education and Development				
UNIT TOTALS	0	\$0	4	\$844,073
Colleges and Schools				
Arts and Sciences				
Anthropology	2	\$288,116	6	\$406,009
Appalachian Center for Mountain Winegrowing			1	\$712,500
Biology	24	\$5,937,991	27	\$6,003,083
Chemistry			4	\$2,230,082
Computer Science	4	\$1,649,310	4	\$1,550,589
English	1	\$0	4	\$386,821
Foreign Languages and Literatures	2	\$174,913		
Geography and Planning	3	\$438,208	4	\$64,499
Geology	16	\$915,280	19	\$1,585,695
Government and Justice Studies	3	\$14,000	2	\$37,903
History	3	\$353,572	5	\$73,570
Mathematical Sciences	5	\$1,779,123	7	\$2,318,165
Microscopy Lab**				1
Philosophy and Religion			2	\$74,256
Physics and Astronomy	11	\$5,045,187	9	\$4,455,062
Psychology	4	\$2,967,352	2	\$40,000
Social Work***			5	\$106,539
Sociology	11	\$137,228	28	\$836,673
UNIT TOTALS	92	\$20,595,023	131	\$21,096,288
			115	\$14,842,781

SUBMISSION STATISTICS FOR FISCAL YEARS 2011, 2010, & 2009

July 1 through June 30

	Feb. Year to Date Fiscal Year 2011		Fiscal Year 2010		Fiscal Year 2009	
	Proposals	Request	Proposals	Request	Proposals	Request
Business						
Accounting					1	\$17,850
Center for Entrepreneurship	3	\$429,217	2	\$598,000	2	\$225,000
Computer Information Systems			1	\$15,000	1	\$24,808
Economics	2	\$446,062	3	\$92,815	4	\$148,086
Finance, Banking and Insurance						
Management	1	\$68,124	2	\$86,317	1	\$196,933
Marketing			3	\$2,100		
Small Business Technology Developmental Center	4	\$451,289	1	\$175,996	4	\$597,883
UNIT TOTALS	10	\$1,394,692	12	\$970,228	13	\$1,210,560
Education						
Doctoral Pgm in Educational Leadership	1	\$2,217	1	\$15,000		
					3	\$532,140
Curriculum and Instruction			6	\$1,274,734	6	\$942,601
Human Dev & Psych Counseling	1	\$387,666	2	\$823,409	1	\$45,603
Language, Reading & Exceptionalities	12	\$209,670	20	\$4,146,650	13	\$187,566
Leadership & Educational Studies	1	\$3,499,829	2	\$93,104	2	\$681,824
National Center for Developmental Education	1	\$70,387			2	\$122,787
NC School Health Training Center	1	\$192,678				
UNIT TOTALS	19	\$4,420,547	32	\$6,536,569	27	\$2,512,521
Fine and Applied Arts						
Art					1	\$19,700
Communication	5	\$439,932				\$104,604
Family and Consumer Sciences			1	\$10,000		
Military Science & Leadership					12	\$1,759,756
Technology	11	\$3,427,783	14	\$880,751		
Health, Leisure & Exercise Science***			14	\$2,347,000	14	\$2,916,632
Theatre & Dance	1	\$5,395	2	\$16,500	1	\$6,000
UNIT TOTALS	17	\$3,873,110	31	\$3,254,251	28	\$4,806,692
School of Music						
	1	\$77,361	1		4	\$118,012
UNIT TOTALS	1	\$77,361	1		4	\$118,012
Health Sciences						
Communication Sci & Disorders	1	\$115,000				
Health, Leisure & Exercise Science	1	\$1,884				
Nursing	14	\$1,001,628				
Nutrition & Health Care Management	3	\$186,582				
Social Work						
UNIT TOTALS	19	\$1,305,094				
Student Development						
ACT Volunteer Program			1	\$4,489	1	\$8,215
Career Development						
Center for Student Involvement & Leadership						
Health Services			1	\$28,104		
Multicultural Student Development						
University Recreation					2	\$982,571
Wellness Center	1	\$3,105				
UNIT TOTALS	1	\$3,105	2	\$32,593	3	\$990,786
University Advancement						
Arts & Cultural Affairs	1	\$5,000				
Arts & Cultural Programs	1	\$132,400	3	\$134,350	1	\$142,992
Turchin Center for the Visual Arts			2	\$29,625	1	\$2,500
UNIT TOTALS	2	\$137,400	5	\$163,975	2	\$145,492
GRAND TOTAL	215	\$47,170,402	300	\$49,123,605	285	\$33,394,783

SUBMISSION STATISTICS FOR FISCAL YEARS 2011, 2010, & 2009

July 1 through June 30

Sponsor Data	Feb. Year to Date Fiscal Year 2011		Fiscal Year 2010		Fiscal Year 2009	
	Proposals	Request	Proposals	Request	Proposals	Request
Federal	68	\$33,429,495				
State	15	\$2,599,568				
Foundation	19	\$3,538,093				
Industry	13	\$1,130,657				
UNC Institutions	11	\$338,943				
NC Local Gov	21	\$1,120,885				
Other State Gov	15	\$2,616,750				
Not For Profit	53	\$2,396,011				
Total	215	\$47,170,402				

Institutes (These cumulative figures include multidisciplinary projects attributed to lead departments above and projects led by Institute personnel)						
Institute for Health and Human Services (IHHS)	5	\$259,517	3	\$1,001,399	13	\$966,396
Appalachian Family Innovations	22	\$1,631,839	32	\$3,343,611	30	\$4,486,683
UNIT TOTALS	27	\$1,891,356	35	\$4,345,010	43	\$5,453,079
Research Institute for Environment, Energy & Economics (RIEEE)	2	\$319,887	6	\$2,217,080	1	\$34,444
Appalachian Energy Center	7	\$1,678,741	7	\$4,977,247	11	\$724,726
Ctr for Economic Research & Policy Analysis (CERPA)	3	\$11,062,382	3	\$702,824	2	\$173,254
UNIT TOTALS	12	\$13,061,010	16	\$7,897,151	14	\$932,424

* Institute dollars reported in this section reflect projects submitted directly through the Institute.

Institute activity that includes departmental affiliations is captured in the cumulative Institute data at the end of this report.

**Reflects historical data.

Beginning 7/1/2010, these OSP reports will reflect only departments, institutes, centers, etc. that have an Appalachian "Org" number assigned & reported to OSP by Academic Affairs.

***Reflects historical data. Department realigned in new unit as of 7/1/2010

AWARD STATISTICS FOR FISCAL YEARS 2011, 2010, & 2009

July 1 through June 30

	Feb. Year to Date Fiscal Year 2011		Fiscal Year 2010		Fiscal Year 2009	
	Proposals	Award	Proposals	Award	Proposals	Award
Chancellor's Office						
Athletics						
Business Affairs						
Design and Construction					1	\$500,000
Office of Sustainability						
Physical Plant Administration	1	\$357,500				
Safety and Worker Compensation						
Safety Office	1	\$1,000				
University Police			1	\$15,200		
UNIT TOTALS	2	\$358,500	1	\$15,200	1	\$500,000
Academic Affairs						
Animal Facility						
Belk Library	2	\$3,050	2	\$18,609	3	\$58,282
Center for Judaic, Holocaust & Peace Studies			3	\$53,000	3	\$33,720
Conferences and Institutes	1	\$10,690	1	\$13,365	2	\$152,428
Continuing Education					1	\$9,300
Enrollment Management						
College Awareness Programs (GEAR UP & Upward Bound)	1	\$1,133,519	2	\$571,065		
Enrollment Services (ACCESS)			5	\$846,990	3	\$1,231,354
Equity, Diversity, and Compliance					2	\$1,400
Graduate School & Research and Sponsored Programs	1	\$3,000			4	\$108,013
Institute for Health and Human Services (IHHS)*	7	\$515,522	2	\$10,000	12	\$367,018
Appalachian Family Innovations	28	\$2,408,374	44	\$4,296,760	41	\$3,090,393
Human Dev. Research & Training Center			1	\$106,734		
Math and Science Education Center					5	\$216,210
NC Engineering Technology Center					1	\$143,449
NW Science & Math Collaborative					3	\$308,309
Research Institute for Environment, Energy & Economics (RIEEE)*	4	\$79,884	4	\$1,363,950	1	\$34,444
Appalachian Energy Center	6	\$3,152,783	1	\$120,000	10	\$336,194
Ctr for Economic Research & Policy Analysis (CERPA)	1	\$10,000	2	\$30,528	2	\$169,630
UNIT TOTALS	54	\$7,333,841	68	\$7,495,946	93	\$6,260,144
University College						
Appalachian Studies	2	\$5,200	3	\$35,275	2	\$11,250
Global Studies			1	\$69,929		
Interdisciplinary Studies					1	\$9,200
Learning Assistance Program	2	\$802,495	2	\$310,794	2	\$295,097
Office of Student Research						
Service Learning						
Sustainable Development						
Univ Documentary Services						
Watauga Global Community						
Women's Studies						
UNIT TOTALS	4	\$807,695	6	\$415,998	5	\$315,547
International Education and Development						
	1	\$32,371	3	\$775,000	2	\$318,427
UNIT TOTALS	1	\$32,371	3	\$775,000	2	\$318,427
Colleges and Schools						
Arts and Sciences						
Anthropology					1	\$15,085
Appalachian Center for Mountain Winegrowing			1	\$712,500	1	\$9,549
Biology	15	\$738,952	17	\$1,258,796	12	\$451,508
Chemistry	1	\$86,135			4	\$410,403
Computer Science	2	\$215,011			4	\$455,743
English			1	\$835	1	\$15,000
Foreign Languages and Literatures					1	\$33,490
Geography and Planning			5	\$273,806	5	\$83,800
Geology	4	\$68,782	10	\$740,527	5	\$197,039
Government and Justice Studies	2	\$9,000	1	\$143,369	1	\$318,482
History	2	\$68,761	6	\$298,487	3	\$126,000
Mathematical Sciences	2	\$12,978	4	\$402,748	3	\$482,453
Microscopy Lab**					1	\$49,231
Philosophy and Religion						
Physics and Astronomy	2	\$25,610	8	\$352,563	7	\$676,125
Psychology	1	\$18,000	1	\$18,103	6	\$114,184
Social Work***			4	\$63,558	1	\$5,000
Sociology	12	\$214,007	20	\$831,169	16	\$722,045
UNIT TOTALS	45	\$1,473,319	79	\$5,606,081	72	\$4,165,137

AWARD STATISTICS FOR FISCAL YEARS 2011, 2010, & 2009

July 1 through June 30

	Feb. Year to Date Fiscal Year 2011		Fiscal Year 2010		Fiscal Year 2009	
	Proposals	Award	Proposals	Award	Proposals	Award
Business						
Accounting						
Center for Entrepreneurship	2	\$9,348	3	\$59,348	4	\$84,348
Computer Information Systems			1	\$15,000		
Economics	1	\$8,000	2	\$90,415	2	\$39,885
Finance, Banking and Insurance Management						
Marketing			3	\$2,100	3	\$401,823
Small Business Technology Development Center	9	\$536,270	2	\$196,057		
UNIT TOTALS	12	\$553,618	11	\$362,920	9	\$526,056
Education						
Doctoral Pgm in Educational Leadership	1	\$2,253	1	\$15,000	4	\$312,141
Curriculum and Instruction	2	\$77,972	6	\$1,035,668	5	\$684,367
Human Dev & Psych Counseling			1	\$5,000		
Language, Reading & Exceptionalities	13	\$351,983	15	\$750,756	9	\$160,522
Leadership & Educational Studies	2	\$515,968	2	\$527,787	1	\$470,613
National Center for Developmental Education						
NC School Health Training Center	1	\$192,678				
UNIT TOTALS	22	\$1,337,671	27	\$2,517,882	19	\$1,627,643
Fine and Applied Arts						
Art						
Communication			1	\$1,000	1	\$4,000
Family and Consumer Sciences						
Military Science & Leadership						
Technology	6	\$321,801	9	\$186,793	12	\$708,039
Health, Leisure & Exercise Science***			8	\$91,461	6	\$79,154
Theatre & Dance	1	\$9,900				
UNIT TOTALS	7	\$331,701	18	\$279,254	19	\$791,193
School of Music						
UNIT TOTALS	0	\$0	1	\$8,500	0	\$0
Health Sciences						
Communication Sci & Disorders	1	\$115,000				
Health, Leisure & Exercise Science	1	\$1,884				
Nursing	13	\$833,488				
Nutrition & Health Care Management	1	\$28,825				
Social Work						
UNIT TOTALS	16	\$979,197	0	\$0	0	\$0
Student Development						
ACT Volunteer Program					1	\$42,127
Career Development					1	\$5,034
Center for Student Involvement & Leadership					1	\$14,993
Health Services			1	\$28,104		
Multicultural Student Development						
University Recreation			1	\$0	0	\$0
Wellness Center	1	\$3,105			1	\$7,703
UNIT TOTALS	1	\$3,105	2	\$28,104	4	\$69,857
University Advancement						
Arts & Cultural Affairs						
Arts & Cultural Programs	1	\$3,000	2	\$28,250	0	\$0
Turchin Center for the Visual Arts	1	\$8,000	0	\$0	1	\$2,500
UNIT TOTALS	2	\$11,000	2	\$28,250	1	\$2,500
GRAND TOTAL	166	\$13,222,018	218	\$17,533,135	225	\$14,576,504

AWARD STATISTICS FOR FISCAL YEARS 2011, 2010, & 2009

July 1 through June 30

Sponsor Data	Feb. Year to Date Fiscal Year 2011		Fiscal Year 2010		Fiscal Year 2009	
	Proposals	Award	Proposals	Award	Proposals	Award
Federal	35	\$2,824,435				
State	31	\$5,419,849				
Foundation	9	\$578,265				
Industry	13	\$825,056				
UNC Institutions	6	\$59,125				
NC Local Gov	24	\$540,790				
Other State Gov	11	\$699,957				
Not For Profit	37	\$2,274,541				
Total	166	\$13,222,018				

Institutes (These cumulative figures include multidisciplinary projects attributed to lead departments above and projects led by Institute personnel)						
Institute for Health and Human Services (IHHS)	7	\$515,522	2	\$10,000	12	\$367,018
Appalachian Family Innovations	28	\$2,408,374	44	\$4,296,760	41	\$3,090,393
UNIT TOTALS	35	\$2,923,896	46	\$4,306,760	53	\$3,457,411
Research Institute for Environment, Energy & Economics (RIEEE)	4	\$79,884	4	\$1,363,950	1	\$34,444
Appalachian Energy Center	6	\$3,152,783	1	\$120,000	10	\$336,194
Ctr for Economic Research & Policy Analysis (CERPA)	1	\$10,000	2	\$30,528	2	\$169,630
UNIT TOTALS	11	\$3,242,667	7	\$1,514,478	13	\$540,268

* Institute dollars reported in this section reflect projects submitted directly through the institute.
 Institute activity that includes departmental affiliations is captured in the cumulative Institute data at the end of this report.

**Reflects historical data.
 Beginning 7/1/2010, these OSP reports will reflect only departments, institutes, centers, etc. that have an Appalachian ORG number assigned & reported to OSP by Academic Affairs.

***Reflects historical data. Department realigned in new unit as of 7/1/2010

Graduate Council / March 2011
Report from Dr. Pollyanne Frantz, Director of Proposal Development

Events:

- “University Research Council Grants Program” workshop (12 participants)
- Triangle Census Research Data Center data sets consultations with faculty and graduate students featuring TCRDC Administrator Bert Grider (4 sessions / 14 participants).

Research Development Officers (RDOs):

*CAS RDO:

- No report.

*CFAA RDO:

- Ed Brewer provided budget assistance and referral services for a Department of Communication faculty member.
- Ed also attended the February "First Fridays" event featuring Appalachian State University's entry in the U.S. Department of Energy's Solar Decathlon competition.

*UC RDO:

- Kristan Cockerill assisted two General Education colleagues by brainstorming ideas and conducting related funding opportunity searches.

*WCOB RDO:

- No report.

*BLIC RDO:

- Megan Johnson continues to lead a cross-departmental effort to plan a fall semester event on research opportunities for faculty and graduate students.
- Megan met with the new archives processing assistant to orient her to the services and resources available for pursuing extramural funding.

*HSOM RDO:

- No report.

Other Activities:

- Pollyanne Frantz conducted one of the three opening workshops (“Fostering Faculty Development”) at a conference co-sponsored by the AASCU/Grants Resource Center and the Council on Undergraduate Research.
- Pollyanne has been meeting with the award recipients of the College of Arts and Sciences’ Humanities Scholars Fellowships to discuss scholarship ideas, obtain

relevant funding opportunities, and assist with arrangements for meeting with program officers.

- Several RDOs attended the February 2011 University Research Council meeting.

Upcoming Events:

For a complete listing of events, see <http://www.orsp.appstate.edu/events/index.html>.

- Three workshops for the GRAM Fellows on locating and evaluating funding opportunities and an overview of grant proposal writing fundamentals (March 16, 21 and 28, 2011).
- April “First Fridays” event featuring the “Community Based Landfill Gas Utilization in Brazil” project (April 1, 2011).
- Professional Writing Retreat for Faculty / Grant Proposal Writing Workshop (May 9-12, 2011). See <http://www.hubbard.appstate.edu/retreats>.

Graduate Council / March 2011
Julie Taubman, Research Protections

Human Research Protection Program (HRPP) and the IRB:

- We have received 250 requests for review of new human subject research, 33 modifications, and renewed the approval of over 40 studies.

Institutional Animal Care and Use Committee (IACUC):

- The Institute for Lab Animal Research released an updated version of the *Guide for the Care and Use of Animals in Laboratory Research*. Institutions receiving Public Health Service funding are required to follow the *Guide*. Robin Tyndall is reviewing the *Guide* to advise our program on any needed changes.

Institutional Biosafety Council (IBC):

- The IBC requested that the Chancellor approve the Policy on Recombinant DNA.
- The IBC reviewed one study in accordance with the guarantee given to the National Institutes of Health to review research with recombinant DNA.
- An IBC website has been created: www.orsp.appstate.edu/protections/biosafety

Radiation Safety:

- No report

Export Controls:

- General Counsel agreed that our restricted party screening procedures do not constitute national origin discrimination. We also considered whether our procedures are appropriate for the regulations. The restricted party screening requirements in the Export Control Management Plan currently reads:
 - Faculty and staff members who invite foreign nationals to visit Appalachian are responsible for contacting the Office of Research Protections to ensure that foreign nationals visiting Appalachian are not on a government restricted party list.
- We are considering whether this procedure should be changed to require the restricted party screening procedure for faculty/staff with access to regulated information/technology that host a guest or anticipate sharing regulated information/technology or funds with any non-ASU person. Regulated information/technology could be defined as information: 1) received from an outside party and subject to a non-disclosure agreement or a confidentiality agreement, or 2) generated under a project that is classified, restricted, or proprietary, or 3) generated from a project that is not considered fundamental research.
- The Provost has designated the Associate Vice Chancellor for International Education and Development as the individual responsible for ensuring compliance with the Cuban Assets Control

Regulations. Research Protections will assist in ensuring compliance with export controls for travel to Cuba by reviewing the proposed items to be taken to Cuba.

- The Export Control Management Plan needs to be modified to reflect this change.

Intellectual Property:

- We received one disclosure of Intellectual Property. The IP Council was not convened because negotiations regarding the disclosure were already under way.